528 Rec'd PCT/PTO

U.S. Application No. Pending

International Application No. ·PCT/BE99/00105



VANM201.001APC

Date: February 14, 2001

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 USC 371**

International Application No.:

PCT/BE99/00105

International Filing Date:

August 14, 1999

Priority Date Claimed:

August 14, 1998

Title of Invention:

NUCLEOTIDE AND/OR AMINO-ACID SEQUENCE CONTROLLING THE

EXPRESSION OF A XYLANASE PROMOTER-OPERATOR NUCLEOTIDE

SEQUENCE

Applicant(s) for DO/EO/US:

Fabrizio Giannotta, Sebastien Rigali, Jean Dusart

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a **FIRST** submission of items concerning a filing under 35 USC 371. 1. (X)
- 2. This express request to begin national examination procedures (35 USC 371(f)) at any time rather (X) than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).
- 3. (X) A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 4. (X) A copy of the International Application as filed (35 USC 371(c)(2))
 - a) is transmitted herewith (required only if not transmitted by the International Bureau). ()
 - (X) has been transmitted by the International Bureau. b)
 - c) () is not required, as the application was filed in the United States Receiving Office (RO/US).
- 5. Amendments to the claims of the International Application under PCT Article 19 (35 USC (X) 371(c)(3)
 - a) 0 are transmitted herewith (required only if not transmitted by the International Bureau).
 - b) 0. have been transmitted by the International Bureau.
 - have not been made; however, the time limit for making such amendments has NOT c) 0 expired.
 - d) (X) have not been made and will not be made.
- 6. (X) An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
- 7. A copy of the International Preliminary Examination Report with any annexes thereto, such as any (X) amendments made under PCT Article 34.
- 8. (X) An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 9. A FIRST preliminary amendment. (X)

09/762992 JC02 Rec'd PCT/PT0 1 4 FEB 2001 Attorney Docket No.

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10.	(X)	International Applicati a. (X) Publication Co b. (X) 15 pages of dis c. (X) Sequence Listi d. (X) 3 pages of drav e. (X) International S	ver Sheet sclosure ng in 5 pages wings	d:			
11.	(X)	Small Entity status is established.					
12.	(X)	PCT Form PCT/IPEA/402.					
13.	(X)	PCT Form PCT/IB/308					
14.	(X)	PCT request form.					
15.	(X)	A return prepaid postc					
16.	(X)						
						FEES	
		BA	SIC FEE			\$860	
CLAIMS			JMBER LED	NUMBER EXTRA	RATE		
Total	Claims	26	- 20 =	6 ×	\$18	\$108	
Independent Claims			- 3 =	0 ×	\$80	\$0	
		ТС	TAL OF AB	OVE CALCULATIO	NS \$968		
		/2 for filing by small entite also be filed. (NOTE 37			tity	\$484	
	TOTAL NATIONAL FEE					\$484	
	TOTAL FEES ENCLOSED					\$484	
17.	(X)	A check in the amount of \$484 to cover the above fees is enclosed.					
18.	(X)	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property.					
19.	(X)	The Commissioner is hereby authorized to charge only those additional fees which may be required, now or in the future, to avoid abandonment of the application, or credit any overpayment to Deposit Account No. 11-1410. A duplicate copy of this sheet is enclosed.					
NOW	~ ** **						

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petiti n t revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

U.S. Application No. Pending



International Application No. PCT/BE99/00105

34,115

Registration Number

Attorney Docket No. VANM201.001APC

Date: February 14, 2001

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SEND ALL CORRESPONDENCE TO:

KNOBBE, MARTENS, OLSON & BEAR, LLP 620 Newport Center Drive Sixteenth Floor Newport Beach, CA 92660

H:\DOCS\JAH\JAH-4114.DOC 021401 Signature

Daniel E. Altman

Printed Name





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Jennifer A. Haynes, Ph.D. Patent Scientist

Assistant Commissioner for Patents Washington, D.C. 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Attorney Docket No. :

VANM201.001APC

Applicant(s)

Fabrizio et al.

For

NUCLEOTIDE

AMINO-ACID

SEQUENCE CONTROLLING THE EXPRESSION OF A XYLANASE PROMOTER-OPERATOR

AND/OR

NUCLEOTIDE SEQUENCE

Attorney

Daniel E. Altman

"Express Mail"

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Date of Deposit

February 14, 2001

I hereby certify that the accompanying

Transmittal in Duplicate; Demand; Declaration; IPER; Assignment, Recordation Form Cover Sheet; Preliminary Amendment; Int'l. Appl. as Published; Form 402; Form 308; Request; Check(s) for Filing Fee(s); Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Justin Stotts

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> San Diego 619-235-8550

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